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Attorney Docket No. 03757-0272

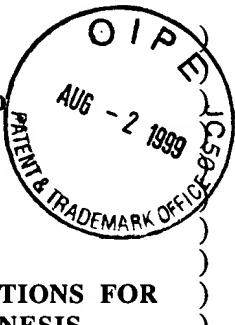
In re Application of:

Robert J. D'Amato

Serial No. 09/287,377

Filed: April 7, 1999

For: METHODS AND COMPOSITIONS FOR  
INHIBITION OF ANGIOGENESIS



### SECOND REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the *corrected* official filing receipt received from the U.S. Patent and Trademark Office in the above application for which issuance of a *second* corrected filing receipt is respectfully requested. Also enclosed is are copies of the Request for Correction of Filing Receipt as filed on May 21, 1999, and the Patent Application Transmittal form as filed with the Application on April 7, 1999.

The information listed on the *corrected* official filing receipt is incorrect. This Application is a continuation of Application Serial No. 08/963,058, filed November 3, 1997, which claims priority to Provisional Application Serial No. 60/028,708 filed November 5, 1996. The filing receipt should read:

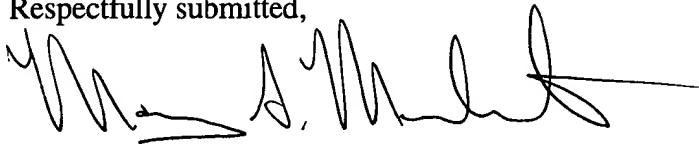
CONTINUING DATA AS CLAIMED BY APPLICANT -  
CONT. OF APPLICATION NO. 08/963,058 11/03/97  
PROVISIONAL APPLICATION NO. 60/028,708 11/05/96

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on July 30, 1999.

  
Mary Anthony Merchant - Reg. No. 39,771

In filing the above-referenced application, Applicants correctly identified the prior applications. From this identification, the entire file history is available to the Patent Office. Therefore, the errors in the filing receipt are not due to Applicants actions. Accordingly, no fee is due.

Respectfully submitted,



By: Mary Anthony Merchant, Ph.D.

JONES & ASKEW, LLP  
2400 Monarch Tower  
3424 Peachtree Road, N.E.  
(404) 949-2400  
Our Docket: 03757-0272

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP/ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/287,377	04/07/99	1623	\$0.00	05213-0272	7	20	5

MARY ANTHONY MERCHANT  
JONES & ASKEW  
2400 MONARCH TOWER  
3424 PEACHTREE ROAD N E  
ATLANTA GA 30326

DATABASE  
UPDATED

DATA IN SYSTEM

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

✓ ROBERT J. D'AMATO, CAMBRIDGE, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

PROVISIONAL APPLICATION NO. 60/028,708 11/05/96

incomplete ↑

✓ IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/29/99

TITLE

✓ METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS

✓ PRELIMINARY CLASS: 514

04/29/99

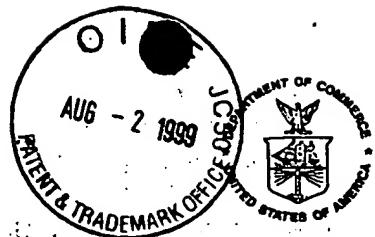
04/29/99

JONES & ASKEW

DATA ENTRY BY: NIMMONS, EVELYN

TEAM: 02 DATE: 04/29/99



FILING RECEIPT  
CORRECTED

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/287,377	04/07/99	1623	\$523.00	05213-0272	7	20	5

MARY ANTHONY MERCHANT  
JONES & ASKEW  
2400 MONARCH TOWER  
3424 PEACHTREE ROAD N.E.  
ATLANTA GA 30326

**DATA PAGE**  
**UPDATED add**

**DATA IN SYSTEM**

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) ROBERT J. D'AMATO, CAMBRIDGE, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT—  
PROVISIONAL APPLICATION NO. 60/028,708 11/05/96

*complete* →  
IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/29/99 \*\* SMALL ENTITY \*\*  
TITLE  
METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS

PRELIMINARY CLASS: 514

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**JUL 19 1999**

**JONES & ASKEW**

DATA ENTRY BY: NIMMONS, EVELYN TEAM: 02 DATE: 07/14/99



(See reverse for new important information)

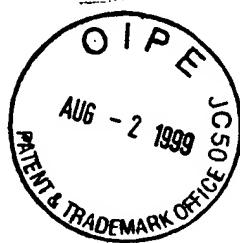
The U.S. Patent & Trademark Office official Mailroom stamp affixed hereto,  
acknowledges receipt of the items checked below:

Appl'n No. 09/287,377 Filing Date: April 7, 1999  
Applicant: Robert J. D'Amato  
Title: Methods and Compositions for Inhibition of Angiogenesis

Enclosures: Request for Corrected Filing Receipt;  
Copy of Official Filing Receipt

Docket No.: 03757-0272  
Date Mailed: May 21, 1999

Atty/Sec'y: KJP



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## REGIMENT

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Robert J. D'Amato**

Serial No. 09/287,377

Filed: April 7, 1999

For: **METHODS AND COMPOSITIONS FOR  
INHIBITION OF ANGIOGENESIS**



Examiner:

Art Unit: 1623

**REQUEST FOR CORRECTION OF FILING RECEIPT**

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Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above application for which issuance of a corrected filing receipt is respectfully requested.

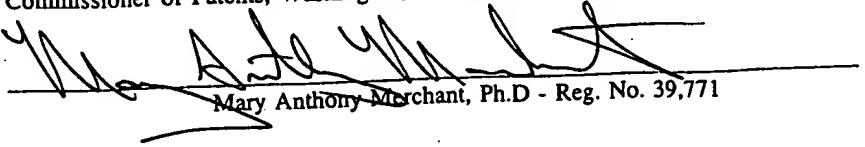
The incorrect information listed on the official filing receipt is as follows:

1. The continuing data is incomplete. The continuing data for this application should read as follows:

**CONTINUING DATA AS CLAIMED BY APPLICANT:**  
**CONTINUATION OF APPLICATION NO. 08/963,058 11/03/97**  
**PROVISIONAL APPLICATION NO. 60/028,708 11/05/96**

---

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231, on May 21, 1999.

  
Mary Anthony Merchant, Ph.D - Reg. No. 39,771

Serial No. 09/287,377  
REQUEST FOR CORRECTION OF FILING RECEIPT  
PAGE 2

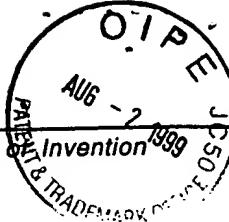
A copy of the Utility Patent Transmittal filed with the above-identified application, which reflects the continuing application data, is enclosed. This error was not made by Applicant; accordingly, no fee is due.

Respectfully submitted,

JONES & ASKEW, LLP

By: Mary Anthony Merchant, Ph.D  
Reg. No. 39,771

2400 Monarch Tower  
3424 Peachtree Road, N.E.  
Atlanta, Georgia 30326  
Telephone: (404) 949-2400  
Fax: (404) 949-2499  
Attorney Docket No.: 03757-0272

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		 Title of Invention 1999 PATENT & TRADEMARK OFFICE	<b>METHODS AND COMPOSITIONS FOR INHIBITION OF ANGIOGENESIS</b>	
			Named Inventor(s)	Robert J. D'Amato
<small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket	05213-0272	
		Express Mail Label No.	EL209276697US	

<b>APPLICATION ELEMENTS</b>		<b>Assistant Commissioner for Patents</b> <b>ADDRESS TO:</b> Box Patent Application Washington, D.C. 20231	
<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</li> <li>2. <input checked="" type="checkbox"/> Specification, Claims, and Abstract      Total Pages: <u>55</u></li> <li>3. <input checked="" type="checkbox"/> Drawings      Total Sheets: <u>7</u></li> <li>4. Oath or Declaration      Total Pages: <u>1</u> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)   <b>[Note Box 5 Below]</b>            (i) <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached            deleting inventor(s) named in the            prior application, see 37 CFR            1.63(d)(2) and 1.33(b).</li> </ol> </li> <li>5. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked)             The entire disclosure of the prior application, from            which a copy of the oath or declaration is supplied            under Box 4b, is considered as being part of the            disclosure of the accompanying application and is            hereby incorporated by reference therein.</li> <li>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</li> <li>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ol> </li> </ol>		<b>ACCOMPANYING APPLICATION PARTS</b>	
		<ol style="list-style-type: none"> <li>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li> <li>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)           <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney by assignee</li> </ul> </li> <li>10. <input type="checkbox"/> English Translation Document (if applicable)</li> <li>11. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449           <ul style="list-style-type: none"> <li><input type="checkbox"/> Copies of IDS Citations</li> </ul> </li> <li>12. <input type="checkbox"/> Preliminary Amendment</li> <li>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</li> <li>14. <input type="checkbox"/> Small Entity Statement(s)           <ul style="list-style-type: none"> <li><input type="checkbox"/> Statement filed in prior application Status still proper and desired</li> </ul> </li> <li>15. <input type="checkbox"/> Certified Copy of Priority Document(s)</li> <li>16. <input type="checkbox"/> Other: _____</li> </ol>	
<p><b>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</b></p> <p><input checked="" type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 08/963,058</p> <p><b>18. CORRESPONDENCE ADDRESS:</b></p> <p>Mary Anthony Merchant, Ph.D.            JONES &amp; ASKEW, LLP            2400 Monarch Tower            3424 Peachtree Road, N.E.            Atlanta, GA 30326</p> <p>By:             Date: <u>April 7, 1999</u> Reg. No. 39,771</p> <p>Telephone: 404-949-2400            Facsimile: 404-949-2499</p>			